



#15 9-17-01

Attorney Docket No.: 42390P7111

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JEFFREY S. McVEIGH, ET AL.

Application No.: 09/274,157

Filed: March 22, 1999

For: **METHOD AND APPARATUS FOR  
SIMPLIFYING FRAME-BASED  
MOTION ESTIMATION**

Art Group: 2613

Examiner: Not Yet Assigned

**RECEIVED**

**JUN 5 2001**

**Technology Center: 2001**

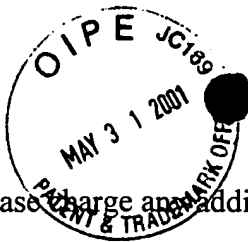
**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir: In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant 37 CFR § 1.97(e)(2), it is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.



Please charge an additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

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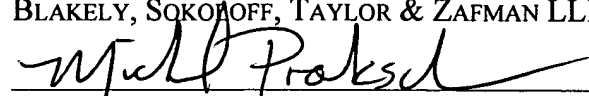
JUN 5 2001

Technology Center: 2600

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


Date: May 22, 2001

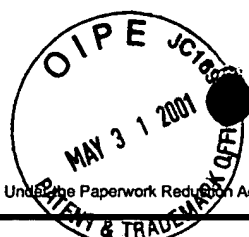
  
Michael A. Proksch, Reg. No. 43,021

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (503) 684-6200

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: May 22, 2001.

  
Dan L. Williams  
05/22/01  
Date



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2613

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|  |                      |                        |            |
|--|----------------------|------------------------|------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application No.      | 09/274,157             |            |
|  | Filing Date          | March 22, 1999         |            |
|  | First Named Inventor | Jeffrey S. McVeigh     |            |
|  | Group Art Unit       | 2613                   |            |
|  | Examiner Name        | Not Yet Assigned       |            |
| Total Number of Pages in This Submission   | 7                    | Attorney Docket Number | 42390P7111 |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><br><input type="checkbox"/> To Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Form PTO-1449<br/>(1) Cited Reference</div> |
| Remarks  |   | <div style="text-align: center;"><b>RECEIVED</b><br/><b>JUN 5 2001</b><br/><b>Technology Center 2600</b></div>   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Michael A. Proksch, Reg. No. 43,021<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature                                  |   |
| Date                                       | May 22, 2001  |

| CERTIFICATE OF MAILING/TRANSMISSION  |  |                 |              |
|--|--|-----------------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: <b>May 22, 2001</b> |  |                 |              |
| Typed or printed name  |  | Dan L. Williams |              |
| Signature  |  | Date            | May 22, 2001 |

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lease type a plus sign (+) inside this box



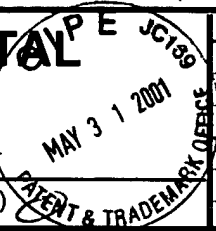
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PTO/SB/17 (12/99)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.



Complete if Known

|                        |                    |
|------------------------|--------------------|
| Application No.        | 09/274,157         |
| Filing Date            | March 22, 1999     |
| First Named Inventor   | Jeffrey S. McVeigh |
| Examiner Name          | Not Yet Assigned   |
| Group/Art Unit         | 2613               |
| Attorney Docket Number | 42390P7111         |

TOTAL AMOUNT OF PAYMENT

(\$)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to credit any overpayments to:

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee(s) Required  
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description        | Fee Paid |
|-------------|-------------|-------------|-------------|------------------------|----------|
| 101         | 710         | 201         | 355         | Utility filing fee     |          |
| 106         | 320         | 206         | 160         | Design filing fee      |          |
| 107         | 490         | 207         | 245         | Plant filing fee       |          |
| 108         | 710         | 208         | 355         | Reissue filing fee     |          |
| 114         | 150         | 214         | 75          | Provisional filing fee |          |

SUBTOTAL (1)

(\$)

### 2. EXTRA CLAIM FEES

| Total Claims                 | Extra<br>Claims | Fee from<br>below | Fee Paid |
|------------------------------|-----------------|-------------------|----------|
| Independent<br>Claims        |                 |                   |          |
| Multiple Dependent<br>Claims |                 |                   |          |

\*or number previously paid, if greater, For Reissues, see below

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description  |
|-------------|-------------|-------------|-------------|--|
| 103         | 18          | 203         | 9           | Claims in excess of 20                                       |
| 102         | 80          | 202         | 40          | Independent claims in excess of 3                            |
| 104         | 260         | 204         | 135         | Multiple Dependent claim, if not paid                        |
| 109         | 80          | 209         | 40          | **Reissue independent claims<br>over original patent         |
| 110         | 18          | 210         | 9           | **Reissue claims in excess of 20<br>and over original patent |

SUBTOTAL (2)

(\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description   | Fee Paid |
|-------------|-------------|-------------|-------------|---|----------|
| 105         | 130         | 205         | 65          | Surcharge - late filing fee or oath   |          |
| 127         | 50          | 227         | 25          | Surcharge - late provisional filing fee or<br>cover sheet.                    |          |
| 139         | 130         | 139         | 130         | Non-English specification   |          |
| 147         | 2,520       | 147         | 2,520       | For filing a request for reexamination  |          |
| 112         | 920*        | 112         | 920*        | Requesting publication of SIR prior to<br>Examiner action                     |          |
| 113         | 1,840*      | 113         | 1,840*      | Requesting publication of SIR after<br>Examiner action                        |          |
| 115         | 110         | 215         | 55          | Extension for response within first month                                     |          |
| 116         | 390         | 216         | 195         | Extension for response within second month                                    |          |
| 117         | 890         | 217         | 445         | Extension for response within third month                                     |          |
| 118         | 1,390       | 218         | 695         | Extension for response within fourth month                                    |          |
| 128         | 1,890       | 228         | 945         | Extension for response within fifth month                                     |          |
| 119         | 310         | 219         | 155         | Notice of Appeal  |          |
| 120         | 310         | 220         | 155         | Filing a brief in support of an appeal  |          |
| 121         | 270         | 221         | 135         | Request for oral hearing  |          |
| 138         | 1,510       | 138         | 1,510       | Petition to institute a public use proceeding                                 |          |
| 140         | 110         | 240         | 55          | Petition to revive - unavoidable  |          |
| 141         | 1,240       | 241         | 620         | Petition to revive - unintentional  |          |
| 142         | 1,240       | 242         | 620         | Utility issue fee (or reissue)  |          |
| 143         | 440         | 243         | 220         | Design issue fee  |          |
| 144         | 600         | 244         | 300         | Plant issue fee   |          |
| 122         | 130         | 122         | 130         | Petitions to the Commissioner   |          |
| 123         | 130         | 123         | 130         | Petitions related to provisional applications                                 |          |
| 126         | 180         | 126         | 180         | Submission of Information Disclosure Stmt                                     |          |
| 581         | 40          | 581         | 40          | Recording each patent assignment per<br>property (times number of properties) |          |
| 146         | 710         | 246         | 355         | Filing a submission after final rejection<br>(37 CFR 1.129(a))                |          |
| 149         | 710         | 249         | 355         | For each additional invention to be<br>examined (37 CFR 1.129(b))             |          |
| 179         | 710         | 279         | 355         | Request for Continued Examination (RCE)                                       |          |
| 169         | 900         | 169         | 900         | Request for expedited examination<br>of a design application                  |          |

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

Complete (if applicable)

|                   |                    |                                      |        |           |                |
|-------------------|--------------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Michael A. Proksch | Registration No.<br>(Attorney/Agent) | 43,021 | Telephone | (503) 684-6200 |
| Signature         |                    |                                      |        | Date      | 05/22/01       |

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